

USA

# DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION

Docket No. \_\_\_\_\_

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

## METHOD OF ABSORBING HYDROPHOBIC WATER-IMMISCIBLE LIQUIDS

the specification of which

- (check one) ☐ is attached hereto.  
☐ was filed on \_\_\_\_\_ as Application Serial No. \_\_\_\_\_  
and was amended on \_\_\_\_\_ (if applicable).  
☒ was filed as PCT International Application No. PCT/GB 91/01711 and was  
amended under PCT Article 19 on \_\_\_\_\_ (if applicable)

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, §1.56(a).

I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate or any PCT international application(s) designating at least one country other than the United States of America listed below and have also identified below any foreign application(s) for patent or inventor's certificate or any PCT international application(s) designating at least one country other than the United States of America filed by me on the same subject matter having a filing date before that of the application(s) on which priority is claimed:

Prior Foreign/PCT Application(s)

Priority Claimed

9021509.6      GREAT BRITAIN 3rd OCTOBER 1990  
(Application No.)      (Country/PCT)      (Day/Month/Year Filed)

☒      ☐  
Yes      No

\_\_\_\_\_  
(Application No.)      (Country/PCT)      (Day/Month/Year Filed)

☐      ☐  
Yes      No

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) or PCT international application(s) designating the United States of America that is/are listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in that/those prior application(s) in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56(a) which occurred between the filing date of the prior application(s) and the national or PCT international filing date of this application:

Prior U.S./PCT Applications:

(U.S. Application Serial No.)	(U.S. Filing Date)	(Status-patented/pending/abandoned)
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(U.S. Application Serial No.)	(U.S. Filing Date)	(Status-patented/pending/abandoned)
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(PCT Application No.)	(U.S. Filing Date)	(U.S. Serial No. Assigned, if any)	(Status-patented/pending/abandoned)
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(PCT Application No.)	(U.S. Filing Date)	(U.S. Serial No. Assigned, if any)	(Status-patented/pending/abandoned)
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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

18 POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith: Harold R. Woodard, No. 16,214; C. David Emhardt, No. 18,483; Joseph A. Naughton, Jr., No. 19,814; John V. Moriarty, No. 26,207; John C. McNett, No. 25,533; Thomas Q. Henry, No. 28,309; James M. Durlacher, No. 28,840; Charles R. Reeves, No. 28,750; Vincent O. Wagner, No. 29,596; Steve Zlatos, No. 30,123; Spiro Bereveskos, No. 30,821; William F. Bahret, No. 31,087; Clifford W. Browning, No. 32,201; R. Randall Frisk, No. 32,221; Daniel J. Eueders, No. 32,581; Michael D. Beck, No. 32,722; Kenneth A. Gandy, No. 33,386; and Kyle S. Brant, No. 33,625.

Address all telephone calls to: Thomas Q. Henry at (317) 634-3456.

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Full name of sole or first inventor:

ROBSON, David James

Inventor's Signature:

Date

David James Robson

22/6/93

Residence

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GBX

Country of Citizenship

Great Britain

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United Kingdom.

over →

Full name of second joint inventor, if any:

LAWTHER, John Mark

2-00

Inventor's Signature:

John Mark Lawther

Date

22nd June 1993

Residence

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Full name of third joint inventor, if any:

HUGHES, Sara

3-00

Inventor's Signature:

Sara Hughes

Date

22.6.93

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United Kingdom.

Full name of fourth joint inventor, if any:

Inventor's Signature:

Date

Residence

Country of Citizenship

Post Office Address

Applicant or Patentee: David S. Robson, J. Mark Lawther, Sara Hughes  
 Serial or Patent No.: 08/050,060 Attorney's Dkt. No.: PBA/SR/D086410PUS  
 Filed or Issued: April 30, 1993  
 For: IMPROVEMENTS IN OR RELATING TO THE ABSORPTION OF HYDROPHOBIC WATER-IMMISCIBLE LIQUIDS

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY  
 STATUS (37 CFR 1.9 (f) and 1.27 (c)) - **INDEPENDENT INVENTOR**

As a below named inventor, I hereby declare that I qualify as an independent inventor as defined in 37 CFR 1.9 (c) for purposes of paying reduced fees under section 41 (a) and (b) of Title 35, United States Code, to the Patent and Trademark Office with regard to the invention entitled:

**METHOD OF ABSORBING HYDROPHOBIC WATER-IMMISCIBLE LIQUIDS**

described in

- ☐ the specification filed herewith  
☒ application serial no. 08/050,060 filed April 30, 1993  
☐ patent no. \_\_\_\_\_, issued \_\_\_\_\_

I have not assigned, granted, conveyed or licensed and am under no obligation under contract or law to assign, grant, convey or license, any rights in the invention to any person who could not be classified as an independent inventor under 37 CFR 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below:

- ☐ no such person, concern, or organization  
☒ persons, concerns or organizations listed below\*

\*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

FULL NAME UNIVERSITY COLLEGE OF NORTH WALES

ADDRESS Bangor, Gwynedd, LL57 2DG, United Kingdom.

☐ Individual ☐ Small Business Concern ☒ Nonprofit Organization

FULL NAME \_\_\_\_\_

ADDRESS \_\_\_\_\_

☐ Individual ☐ Small Business Concern ☐ Nonprofit Organization

FULL NAME \_\_\_\_\_

ADDRESS \_\_\_\_\_

☐ Individual ☐ Small Business Concern ☐ Nonprofit Organization

I acknowledge the duty to file, in this application of patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

**David James ROBSON**

**John Mark LAWTHOR**

**Sara HUGHES**

NAME OF INVENTOR

NAME OF INVENTOR

NAME OF INVENTOR

Signature of Inventor

Signature of Inventor

Signature of Inventor

David James Robson  
 Date 22 June 1993

John Mark Lawther  
 Date 22 June 1993

Sara Hughes  
 Date 22 June 1993

Applicant or Patentee: David J. Robson, J. Mark Lawther, Sara Hughes PBA/SR/  
 Serial or Patent No.: 08/050,060 Attorney's D086410PUS  
 Filed or Issued: April 30, 1993 Docket No.: \_\_\_\_\_  
 For: IMPROVEMENTS IN OR RELATING TO THE ABSORPTION OF HYDROPHOBIC WATER-IMMISCIBLE LIQUIDS

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS (37 CFR 1.9 (f) and 1.27 (d)) — NONPROFIT ORGANIZATION**

I hereby declare that I am an official empowered to act on behalf of the nonprofit organization identified below:

NAME OF ORGANIZATION UNIVERSITY COLLEGE OF NORTH WALES  
 ADDRESS OF ORGANIZATION BANGOR, GWYNEDD, LL57 2DG, UNITED KINGDOM.

**TYPE OF ORGANIZATION**

- ☒ University or other institution of higher education  
☐ Tax exempt under Internal Revenue Service Code (26 USC 501(a) and 501(c) (3))  
☐ Nonprofit scientific or educational under statute of state of The United States of America  
 (Name of state \_\_\_\_\_)  
 (Citation of statute \_\_\_\_\_)  
☐ Would qualify as tax exempt under Internal Revenue Service Code (26 USC 501(a) and 501(c) (3)) if located in The United States of America  
☐ Would qualify as nonprofit scientific or educational under statute of state of The United States of America if located in The United States of America  
 (Name of state \_\_\_\_\_)  
 (Citation of statute \_\_\_\_\_)

I hereby declare that the nonprofit organization identified above qualifies as a nonprofit organization as defined in 37 CFR 1.9 (e) for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code with regard to the invention entitled Method of absorbing hydrophobic water-immiscible liquids by inventor(s) ROBSON, David James, LAWTHOR, John Mark, & HUGHES, Sara described in

- ☐ the specification filed herewith  
☒ application serial no. 08/050,060, filed April 30, 1993  
☐ patent no. \_\_\_\_\_, issued \_\_\_\_\_

I hereby declare that rights under contract or law have been conveyed to and remain with the nonprofit organization with regard to the above identified invention.

If the rights held by the nonprofit organization are not exclusive, each individual, concern or organization having rights to the invention is listed below\* and no rights to the invention are held by any person, other than the inventor, who could not qualify as a small business concern under 37 CFR 1.9 (d) or by any concern which would not qualify as a small business concern under 37 CFR 1.9 (d) or a nonprofit organization under 37 CFR 1.9 (e).

\*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

NAME \_\_\_\_\_  
 ADDRESS \_\_\_\_\_  
☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

NAME \_\_\_\_\_  
 ADDRESS \_\_\_\_\_  
☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28 (b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING DR. ALAN JAMES BOLTON  
 TITLE IN ORGANIZATION DIRECTOR - THE BIOCOMPOSITES CENTRE  
 ADDRESS OF PERSON SIGNING UNIVERSITY OF WALES  
BANGOR GWYNEDD LL57 2UW, UK

SIGNATURE [Signature] DATE 22 June 1993

Please complete all details